

BRENTWOOD BOROUGH COUNCIL STRATEGIC RISK REGISTER SUMMARY SHEET

Row No	Risk No	Risk Description	Residual Risk Rating Jun-15			Residual Risk Rating Aug-15			Residual Risk Rating Oct-15			Current Risk Rating Jan-16			Movement	Risk Response/Update on action required	Risk Owner
			*L	*I		*L	*I		*L	*I		*L	*I				
1	RSK1	Finance Pressures	3	4	12	4	5	20	4	5	20	5	5	25	↑	COMMENT JUNE 15: Savings options for 2016/17 and 2017/18 are being developed. COMMENT AUG 15: Increased risk due to recent announcements from central government and challenging savings targets. COMMENT OCT 15: MFTP for next two years drafted with budget setting deadline of 2 March 2016. COMMENT JAN 2016: Increased to highest risk following the Provisional Local Government Finance Settlement 2016/17.	Chris Leslie
2	RSK11	Rollout of Universal Credit							4	5	20	4	5	20	↕	COMMENT OCT 15: Approximately 50% of HRA Housing tenants will be affected by Universal Credit as they are in receipt of Housing Benefit. This will result in a loss of income to the HRA account. COMMENT JAN 2016: The implementation of universal credit will also put pressures on the private sector tenants and the risk of them becoming homeless is increased. A new income collection policy/procedure has been approved/implemented. A new pre tenancy service is being worked on by Officers and implemented April 2016. Internal audit will be conducting a review over next couple of months and will make recommendations. 21/01/16	Helen Gregory
3	RSK12	Extension of Right To Buy to registered provider tenants							4	5	20	4	5	20	↕	COMMENT OCT 15: RTB is being extended to Housing Association tenants. It is proposed that funding will be provided by the Local Authority from the sale of high value Council houses when they become vacant. COMMENT JAN 2016: We are waiting for further announcements to be made by Government; HG to attend a GLA/DCLG briefing in February 2016.	Helen Gregory
4	RSK2	Local Development Plan	3	4	12	3	4	12	3	5	15	3	5	15	↕	COMMENT JUNE 15: Delivery dates for CIL and LDP changed in the light of further consultation on planning policies and a review of Council priorities. COMMENT AUG 15: New Local Plan timetable agreed by Planning & Licencing Committee on 21 July 2015. COMMENT OCT 15: In light of increased Govt pressure to build more new homes, the need for an adopted Local Plan has become even more of a priority. COMMENT JAN 2016: Draft Local Plan approved for consultation at Ordinary Council on 27 January 2016. Consultation runs from 10 Feb for a statutory six week period.	Gordon Glenday
5	RSK5	Information Management and Security	3	5	15	3	5	15	3	5	15	3	5	15	↕	COMMENT AUG 15: Induction training to new members was delivered on 17 June 2015. Further training being rolled out. Diarised dates in place for renewal of Council's Certificate of Registration & individual Councillor notification with ICO. COMMENT OCT 15: Officer training on 27 October 2015 on FOI. Further training planned. Reviewed FOI protocols. COMMENT JAN 2016: Information Management Training (FOI/DPA) to be delivered by Lee Henley in February/March. The Council's Certificate of Registration with the Information Commissioner's Office has now been renewed.	Daniel Toohey

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6	RSK10	Failure to spend Capital Receipts	3	5	15	3	5	15	3	5	15	3	5	15	↕	COMMENT JUNE 15: Immediate action has been implemented to mitigate risk of delays to affordable housing development programme by instructing the asset management team to purchase 2 x 3 bedroom properties. COMMENT AUG 15: Delegated authority granted at 17 June 2015 Housing Committee for Officers to purchase two properties on the open market to meet the first expenditure deadline of the 15 September 2015. The properties should exchange/complete on the 11 September 2015. COMMENT OCT 15: Previous purchases were successful. Further acquisitions have been identified to meet the deadline. COMMENT JAN 2016: 4 property purchases completed, 3 x 3 bed houses, 1 x 2 bed bungalow , 1 further property purchase progressing with completion due before March deadline, 1 x 3 bed house. This will ensure the 31 March 2016 deadline is achieved. Going forward we are planning to align expenditure with our affordable housing development programme.	Helen Gregory
7	RSK4	Organisational Capacity	3	4	12	3	4	12	3	4	12	3	4	12	↕	COMMENT JUNE 15: Work has commenced on the refreshed Corporate Plan. This will lead in turn to service plans which will clearly identify resource/capability issues. COMMENT AUG 15: The Corporate Plan is being refreshed with a public consultation. A restructure of CLB is currently underway. More regular sessions are being held with all teams to understand pressures. COMMENT OCT 15: With the agreement of the Council's Corporate Plan 2016-19, officers are now in a position to develop Service Plans which will incorporate detailed resource/structure plans. COMMENT JAN 2016: This is a key part of the alignment between the Transformation Agenda and the budget.	Philip Ruck
8	RSK13	Failure to deliver key Corporate Projects							2	5	10	2	5	10	↕	COMMENT JAN 2016: Tight project controls have been introduced to assist in the control of this. Regular reporting and links to the Transformation agenda will aid clarity and transparency.	Phil Ruck
9	RSK3	Disaster Recovery/Business Continuity	2	4	8	2	4	8	2	4	8	2	4	8	↕	COMMENT AUG 15: ICT to provide a Disaster Recovery Plan, once this has been produced testing will take place. Exercises to test resilience of Gold Command & Emergency Planning arranged for 22/10/2015. COMMENT OCT 15: Gold Officer Training took place on 22/10/2015. Five officers also attended the ERF Strategic Briefing on 08/10/2015 & 09/11/2015 at Essex Fire & Rescue Services HQ. ICT still need to provide a Disaster Recovery Plan. COMMENT JAN 2016: The Over-arching plan will be reviewed to ensure it is robust. Staff details in the plans are to be improved. ICT will provide an updated BC plan to reflect current arrangements.	Mark Stanbury

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10	RSK7	Commercial Activities	2	4	8	2	4	8	2	4	8	2	4	8	↕	COMMENT JUNE 15: Budegt approval has been granted for formation and work has commenced on the business case - the justification for the LATCO. COMMENT AUG 15: The focus on commercial activities continues with a review of alternative delivery models that might not necessitate the formation of a LATCo. COMMENT OCT 15: The organisation is currently identifying key areas of involvement. COMMENT JAN 2016: This is an area that is under constant review and will become clearer once key business cases have been prepared and agreed.	Philip Ruck
11	RSK8	Contract/Partnership Failure	2	4	8	2	4	8	2	4	8	2	4	8	↕	COMMENT JUNE 15: Metrics continue to be improved and SLAs introduced. COMMENT AUG 15: As per previous report we continue to re-inforce metrics and understanding of key drivers for BBC. COMMENT OCT 15: This is ongoing and there are no changes to report. COMMENT JAN 2016: This is ongoing and there are no changes to report.	Philip Ruck
12	RSK9	Lack of Strategic Direction	3	4	12	3	4	12	3	4	12	1	4	4	↓	COMMENT JUNE 15: Work has commenced on the refreshed Corporate Plan. After consultation this will be presented to Full Council in Sept 2015. COMMENT AUG 15: The refreshed Corporate Plan is in the latter stages of development. The HoPS is visiting all teams to advise on strategic roadmap. COMMENT OCT 15: The approval of the Corporate Plan 2016-2019 will vastly reduce this risk. COMMENT JAN 2016: We are now implementing the "brentwood a better place to be ..." programme as a way of implementing the approved Vision.	Philip Ruck

* L = Likelihood Rating (1 = Low, 5 = High)
 * I = Impact Rating (1 = Low, 5 = High)
 Maximum Score 5 x 5 = 25